

Legal Due Diligence

A	LITIGATION & PROCEEDINGS	
1	Details of litigation of Company, promoters, directors, group companies by either parties	
2	Details including copies of claim(s) made by the customers, suppliers, distributors, franchisees, agents, employees or representatives or any other person involving the Company/Promoters/Directors of the Company	
3	Details (including a copy of each judgment, decree, order of court or regulatory agency and settlement of any kind) of all past litigation, arbitration or investigations or regulatory agency action filed by the Company/ Promoters/ Directors and against the Company/ Promoters/ Directors	
4	Details including copies of claim(s) made by the Company on customers, suppliers, distributors, franchisees, agents, employees or representatives or any other person	
5	Details including copies of notice(s) from Government or any other local body or authority viz. the Income-tax Authority, Central Sales tax authority, etc. or under any legislative enactment, Government ordinance, order or notification has been received by or served upon the Company	
6	Any investigation/inquiry/Audit inquiry from the ROC or any other regulatory authority along with details of the outcome	
7	Details of past, current and likelihood of future, labour disputes and/or claims made by any employee or ex-employee of the Company relating to the Company	
8	Details and copies of applications, if any, made to the Company Law Board	
9	Tax Litigation Summary (Certificate required from tax consultant pertaining to tax litigation)	Certificate required from tax consultant pertaining to tax litigation
B	GOVERNMENT APPROVAL	

	<p>List of all approvals and Licenses required as per the relevant statues applicable to the company and Copies of all approvals and valid licenses for running the business for all places of operations including all office /branches/ factory. Below are List of few Approvals:-</p> <p>PAN, TAN, Service Tax, GST Enrollment, Central Sales Tax/VAT, Excise Registration, Factory License, Local Shop and Establishment, Standard of Weights and Measures, ISO Certifications, Approval from Electricity Boards, Environmental Clearance, ESI, PF, IEC - Exports License, Pollution control, Registration under Labour Act, Registration for Water Usage and Any Other Approval, company require to Operate.</p>	
C	INTELLECTUAL PROPERTY RIGHTS	
1	Registration/Application made for any:	
	- Patent	
	- Design & copyrights	
	- Trademarks and service marks (including logo's)	
2	- Any other industrial intellectual property connected with the business of the Company	
3	Trademarks owned by the Promoter, (if any) and used for the purpose of the business of the Company (Logo of the Company and Certificates/supportings for trademark to be furnished)	Logo of the Company. Certificates/supportings for trademark(s).
4	Website and Domain/ server hosting (Invoice or Agreement in the name of the Company to be furnished)	Invoice or Agreement in the name of the Company
D	FOREIGN EXCHANGE TRANSACTIONS, IF ANY	
1	Copies of Agreements/contracts/work orders with customers/suppliers outside countries for the past five years	
2	Hedging Agreements (if any)	
3	ISDA Agreements (if any)	
E	INSURANCE	
	All Insurance Policies of the Company including Fire Marine and Vehicles, Other Insurance including Keymans alongwith full details to be furnished	All insurance policies with details